

03-19-03

EXPRESS MAIL NO. EV170134041US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/685,696      |
| Filing Date          | October 9, 2000 |
| First Named Inventor | Tongtong Wang   |
| Group Art Unit       | 1632            |
| Examiner Name        | Shin-Lin Chen   |
| Attorney Docket No.  | 210121.455C13   |

RECEIVED

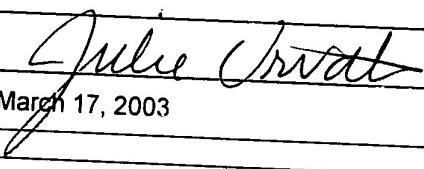
MAR 24 2003

TECH CENTER 1600/2000

## ENCLOSURES (check all that apply)

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input type="checkbox"/> Cited References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Additional Enclosure(s) (please identify below): |
|--|---|--|

## Remarks

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |  |
|--|---|--|
| Individual Name                            | Julie A. Urvater, Ph.D., Patent Agent<br>Reg. No. 50,461                            | <br>00500<br><small>PATENT TRADEMARK OFFICE</small> |
| Signature                                  |  |  |
| Date                                       | March 17, 2003  |  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

|                       |  |       |
|-----------------------|--|-------|
| Typed or printed name |  |       |
| Signature             |  | Date: |

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EXPRESS MAIL NO. EV170134041US

PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0032

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT (\$)** **1250**

Complete if Known

|                      |                 |                       |
|----------------------|-----------------|-----------------------|
| Application Number   | 09/685,696      | RECEIVED              |
| Filing Date          | October 9, 2000 | MAR 24 2003           |
| First Named Inventor | Tongt ng Wang   | TECH CENTER 1600/2900 |
| Examiner Name        | Shin-Lin Chen   |                       |
| Group Art Unit       | 1632            |                       |
| Attorney Docket No.  | 210121.455C13   |                       |

## METHOD OF PAYMENT

|   |   |                                      |                                      |                                |
|---|---|--------------------------------------|--------------------------------------|--------------------------------|
| <input checked="" type="checkbox"/> Payment Enclosed: | <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other |
| <input type="checkbox"/> Deposit Account:             | Deposit Account Number <b>19-1090</b>     |                                      |                                      |                                |
| Deposit Account Name                                  | Seed Intellectual Property Law Group PLLC |                                      |                                      |                                |

The Commissioner is authorized to (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee
- Charge any deficiencies

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 1001         | 750          | 2001     | 375      |          |          | Utility filing fee     |          |
| 1002         | 330          | 2002     | 165      |          |          | Design filing fee      |          |
| 1003         | 520          | 2003     | 260      |          |          | Plant filing fee       |          |
| 1004         | 750          | 2004     | 375      |          |          | Reissue filing fee     |          |
| 1005         | 160          | 2005     | 80       |          |          | Provisional filing fee |          |
|              |              |          |          |          |          | SUBTOTAL (1) (\$)      |          |

### 2. EXTRA CLAIM FEES

| Total Claims | Prev. paid | Extra Claims | Fee from below | Fee Paid |
|--------------|------------|--------------|----------------|----------|
| 60           | -63        | 0            |                |          |
| 18           | -18        | 0            |                |          |
|              |            | *            |                |          |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|----------|----------|--|
| 1202         | 18           | 2202     | 9        |          |          | Claims in excess of 20                                     |
| 1201         | 84           | 2201     | 42       |          |          | Independent claims in excess of 3                          |
| 1203         | 280          | 2203     | 140      |          |          | Multiple dependent claim, if not paid                      |
| 1204         | 84           | 2204     | 42       |          |          | ** Reissue independent claims over original patent         |
| 1205         | 18           | 2205     | 9        |          |          | ** Reissue claims in excess of 20 and over original patent |
|              |              |          |          |          |          | SUBTOTAL (2) (\$)  |

\*\* or number previously paid, if greater; For Reissues, see above

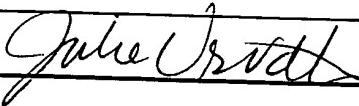
## FEE CALCULATION (continued)

| 3. ADDITIONAL FEES        | Large Entity | Small | Fee Description   | Fee Paid |
|---------------------------|--------------|-------|---|----------|
| 1051                      | 130          | 2051  | 65 Surcharge - late filing fee or oath  |          |
| 1052                      | 50           | 2052  | 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                      | 130          | 1053  | 130 Non-English specification   |          |
| 1812                      | 2520         | 1812  | 2520 For filing a request for ex parte reexamination                          |          |
| 1804                      | 920*         | 1804  | 920* Requesting publication of SIR prior to Examiner action                   |          |
| 1805                      | 1840*        | 1805  | 1840* Requesting publication of SIR after Examiner action                     |          |
| 1251                      | 110          | 2251  | 55 Extension for reply within first month                                     |          |
| 1252                      | 410          | 2252  | 205 Extension for reply within second month                                   |          |
| 1253                      | 930          | 2253  | 465 Extension for reply within third month                                    |          |
| 1254                      | 1450         | 2254  | 725 Extension for reply within fourth month                                   | 930      |
| 1255                      | 1970         | 2255  | 985 Extension for reply within fifth month                                    |          |
| 1401                      | 320          | 2401  | 160 Notice of Appeal  | 320      |
| 1402                      | 320          | 2402  | 160 Filing a brief in support of an appeal                                    |          |
| 1403                      | 280          | 2403  | 140 Request for oral hearing  |          |
| 1451                      | 1510         | 1451  | 1510 Petition to institute a public use proceeding                            |          |
| 1452                      | 110          | 2452  | 55 Petition to revive – unavoidable   |          |
| 1453                      | 1300         | 2453  | 650 Petition to revive – unintentional  |          |
| 1501                      | 1300         | 2501  | 650 Utility issue fee (or reissue)  |          |
| 1502                      | 470          | 2502  | 235 Design issue fee  |          |
| 1503                      | 630          | 2503  | 315 Plant issue fee   |          |
| 1460                      | 130          | 1460  | 130 Petitions to the Commissioner   |          |
| 1807                      | 50           | 1807  | 50 Processing fee for provisional applications                                |          |
| 1806                      | 180          | 1806  | 180 Submission of Information Disclosure Stmt                                 |          |
| 8021                      | 40           | 8021  | 40 Recording each patent assignment per property (times number of properties) |          |
| 1809                      | 750          | 2809  | 375 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 1810                      | 750          | 2810  | 375 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 1801                      | 750          | 2801  | 375 Request for Continued Examination (RCE)                                   |          |
| 1802                      | 900          | 1802  | 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____ |              |       |   |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1250)

## SUBMITTED BY

|                       |   |                                    |                |
|-----------------------|---|------------------------------------|----------------|
| Name<br>(Print/Type)  | Julie A. Urvater, Ph.D., Patent Agent   | Registration No.<br>Attorney/Agent | 50,461         |
| Firm Name/<br>Address |   |                                    |                |
| Signature             |  | Date                               | March 17, 2003 |



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